

STEP II
CONSENT AGREEMENT
BETWEEN
DAVID T. BROCK, D.O.
AND
THE STATE MEDICAL BOARD OF OHIO

This CONSENT AGREEMENT is entered into by and between DAVID T. BROCK, D.O., and THE STATE MEDICAL BOARD OF OHIO, a state agency charged with enforcing Chapter 4731., Ohio Revised Code.

DAVID T. BROCK, D.O., enters into this CONSENT AGREEMENT being fully informed of his rights under Chapter 119., Ohio Revised Code, including the right to representation by counsel and the right to a formal adjudicative hearing on the issues considered herein.

BASIS FOR ACTION

This CONSENT AGREEMENT is entered into on the basis of the following stipulations, admissions and understandings:

- A. The STATE MEDICAL BOARD OF OHIO is empowered by Section 4731.22(B), Ohio Revised Code, to limit, revoke, suspend a certificate, refuse to register or reinstate an applicant, or reprimand or place on probation the holder of a certificate for any of the enumerated violations.
- B. THE STATE MEDICAL BOARD OF OHIO enters into this CONSENT AGREEMENT in lieu of formal proceedings based upon the violations of Sections 4731.22(A), 4731.22(B)(5), and 4731.08, Ohio Revised Code, as set forth in the Notice of Opportunity for Hearing dated August 9, 2000, and paragraph D of the November 2000 Step I Consent Agreement between DAVID T. BROCK, D.O., and THE STATE MEDICAL BOARD OF OHIO, copies of which are attached hereto and incorporated herein, and Section 4731.22(B)(19), Ohio Revised Code, as set forth in Paragraphs F through N below. THE STATE MEDICAL BOARD OF OHIO expressly reserves the right to institute formal proceedings based upon any other violations of Chapter 4731. of the Revised Code, whether occurring before or after the effective date of this Agreement.

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DAVID T. BROCK, D.O.

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- C. DAVID T. BROCK, D.O., is applying for reinstatement of his license to practice osteopathic medicine and surgery in the State of Ohio, which was suspended pursuant to the terms of the above referenced November 2000 Step I Consent Agreement.
- D. DAVID T. BROCK, D.O. STATES that he is not licensed to practice osteopathic medicine and surgery in any other state or jurisdiction.
- E. DAVID T. BROCK, D.O., STATES, and the STATE MEDICAL BOARD OF OHIO ACKNOWLEDGES, that DOCTOR BROCK has substantially complied with the reinstatement conditions as set forth in his November 2000 Step I Consent Agreement.
- F. DAVID T. BROCK, D.O., ADMITS that following his three day evaluation for chemical dependency at the Cleveland Clinic Foundation (CCF), a BOARD approved treatment provider in Cleveland, Ohio, the CCF treatment team recommended that he participate in inpatient treatment. Accordingly, DR. BROCK reported to Talbot Recovery Campus (TRC), a BOARD approved treatment provider in Atlanta, Georgia, on August 25, 2000, for treatment. DOCTOR BROCK further ADMITS that, based upon his history as recorded in the CCF records and as taken at TRC, he was found by the TRC treatment team not to meet the criteria for chemical abuse or dependence. DOCTOR BROCK further ADMITS, however, that the TRC and CCF treatment teams both noted strong concerns about his acting-out behaviors, including his admitted repeated shoplifting (which DOCTOR BROCK ADMITS resulted in his conviction in the Hocking County (Ohio) Municipal Court in or about March 2000 of the offense of Petty Theft, a misdemeanor), and that outpatient treatment to address these issues was recommended.

DOCTOR BROCK further ADMITS that he was discharged from TRC on September 11, 2000, after the TRC treatment team opined that further treatment at TRC was not appropriate as DOCTOR BROCK was not found to have a substance abuse disorder. DOCTOR BROCK further ADMITS that his diagnosis upon discharge from TRC was Depressive Disorder, NOS, and that his discharge recommendations included that he should abstain from all mood-altering chemicals, including alcohol; that he be monitored by a physician health program, to include urine drug screens; and that he engage in individual psychotherapy to focus on his interpersonal difficulties and acting-out behaviors.

- G. As DOCTOR BROCK was not found to have a substance abuse disorder, TRC did not require DOCTOR BROCK to enter into an aftercare contract. Nevertheless, on or about September 26, 2000, DOCTOR

BROCK entered into an advocacy contract with the Ohio Physicians Effectiveness Program (OPEP) requiring, among other things, that DOCTOR BROCK abstain from all mood altering drugs, including alcohol, and that he submit urine samples for toxicology testing as randomly notified to do so twice per week. OPEP has confirmed that DOCTOR BROCK is in compliance with his OPEP advocacy contract, which remains in effect to date, and has offered that OPEP is in support of reinstatement of DOCTOR BROCK's medical license and reduction of his toxicology testing to once per week.

- H. DOCTOR BROCK ADMITS that on December 4, 2000, he was evaluated by Anne Price, LPCC, CCDCIII-E, Assessment Counselor at Maryhaven, a BOARD approved treatment provider in Columbus, Ohio. Ms. Price opined that DOCTOR BROCK does not meet the criteria for a diagnosis of alcohol abuse or dependence and that DOCTOR BROCK is capable of returning to the practice of osteopathic medicine and surgery and practicing within acceptable and prevailing standards of care.
- I. DOCTOR BROCK further ADMITS that he treated with psychiatrist Douglas Beech, M.D., on approximately three occasions in October and November 2000 for purposes of medication management and psychotherapy. DOCTOR BROCK further ADMITS that he thereafter began treating with psychiatrist Joseph G. Lalonde, M.D., on November 6, 2000. DOCTOR BROCK further ADMITS that Dr. Lalonde has reported his diagnoses of DOCTOR BROCK to include Major Depression, single episode, and past history of alcohol abuse, in remission. DOCTOR BROCK further ADMITS that he continues to see Dr. Lalonde, primarily for purposes of medication management, and that he is currently prescribed Celexa.
- J. DOCTOR BROCK further ADMITS that on December 7, 2000, he underwent a complete neuropsychological evaluation coordinated by R.A. Bornstein, Ph.D., ABPP, of The Ohio State University's Department of Psychiatry, Neuropsychology Program. DOCTOR BROCK further ADMITS that following this evaluation, Dr. Bornstein reported to the BOARD that the evaluation results represented a normal examination and that there was no evidence of brain dysfunction or any cognitive or emotional abnormalities that would interfere with DOCTOR BROCK's practice of medicine.
- K. DOCTOR BROCK ADMITS that on December 23, 2000, he was psychiatrically evaluated by Howard H. Sokolov, M.D., of OSU and Harding Behavioral Healthcare and Medicine, a psychiatrist proposed by DOCTOR BROCK and approved by the BOARD for this purpose.

DOCTOR BROCK further ADMITS that following this evaluation, Dr. Sokolov provided a report in which he opined that although DOCTOR BROCK's evaluation did not reveal any issues of mental illness, it did reveal a recent pattern of poor judgments reflecting personal immaturity, and that these judgments (falsification of his application, pattern of thefts for expediency, and minimization of the severity of his actions) were of such a nature and severity as to give Dr. Sokolov concern about DOCTOR BROCK's ability to practice medicine safely. As a result, Dr. Sokolov opined as follows:

Based on the current evaluation, I cannot support reinstatement of Dr. Brock's medical license at this time. It is my opinion that Dr. Brock needs to engage in at least once a week psychotherapy over a several month (4-6 month) period and be making progress before consideration should be given to licensure reinstatement. Progress will need to be shown in the areas of immaturity of thinking and behavior that he has recently exhibited. Signs of progress may include mature decision making, insight into his behavior, recognizing the full impact of his previous action, abstinence from alcohol, etc.

DOCTOR BROCK ADMITS that although his psychiatrist, Dr. Lalonde, had recommended a psychologist for him to see for psychotherapy, DOCTOR BROCK had not seen the psychologist at the time of his evaluation by Dr. Sokolov.

- L. DOCTOR BROCK further ADMITS that since January 8, 2001, he has participated in psychotherapy with Michael A. Forman, Ph.D., on at least eleven occasions.
- M. DOCTOR BROCK ADMITS that on January 30, 2001, he was psychiatrically evaluated by R.D. Huestis, M.D. Dr. Huestis thereafter provided a report in which he noted that he concurs with Dr. Sokolov that there are no signs of mental illness but there is a pattern suggesting personal immaturity and that he agrees that long-term psychotherapy is indicated and is the only approach that is likely to address long-term character concerns as it applies to this individual holding an Ohio license to practice medicine. In this report, Dr. Huestis recommended that DOCTOR BROCK be permitted to return to work within his training program, that DOCTOR BROCK be placed on probation, and that he continue intensive psychodynamic psychotherapy.

- N. On or about April 6, 2001, Dr. Sokolov was provided with information that DOCTOR BROCK has participated in psychological psychotherapy sessions with Dr. Forman on eleven occasions since January 8, 2001, and is reported by Dr. Forman to be making appropriate efforts and progress. In light of the provided information concerning DOCTOR BROCK's participation and progress in psychotherapy, Dr. Sokolov opined that DOCTOR BROCK is capable of practicing osteopathic medicine and surgery according to acceptable and prevailing standards of care, so long as certain treatment and monitoring conditions are in place. These treatment and monitoring conditions include that DOCTOR BROCK's practice at this time be limited to his residency training program and related activities; that he continue in weekly psychotherapy for at least one year, with the Board to receive periodic reports concerning his participation and progress; and that he continue with a psychiatrist for purposes of treatment and medication management.

AGREED CONDITIONS

Wherefore, in consideration of the foregoing and mutual promises hereinafter set forth, and in lieu of any formal proceedings at this time, the certificate of DAVID T. BROCK, D.O., to practice osteopathic medicine and surgery in the State of Ohio shall be reinstated, and DAVID T. BROCK, D.O., knowingly and voluntarily agrees with THE STATE MEDICAL BOARD OF OHIO, (hereinafter BOARD), to the following PROBATIONARY terms, conditions and limitations:

1. DOCTOR BROCK's practice of osteopathic medicine and surgery in the State of Ohio shall be LIMITED and RESTRICTED to performing such acts as may be prescribed by or incidental to a residency program accredited by the ACGME or AOA into which DOCTOR BROCK has been accepted, until otherwise authorized by the BOARD. Following receipt of authorization by the BOARD for his practice of osteopathic medicine and surgery in the State of Ohio to extend beyond the performance of acts prescribed by or incidental to a residency program, as detailed above, DOCTOR BROCK's practice shall be in accordance with a practice plan approved in advance by the BOARD;
2. DOCTOR BROCK shall obey all federal, state and local laws, and all rules governing the practice of osteopathic medicine in Ohio, and all terms of probation imposed by the Hocking County (Ohio) Municipal Court in criminal case number CRB 9901496;
3. DOCTOR BROCK shall submit quarterly declarations under penalty of BOARD disciplinary action or criminal prosecution, stating whether there has been compliance with all the conditions of this CONSENT

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DAVID T. BROCK, D.O.

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AGREEMENT. The first quarterly declaration must be received in the BOARD's offices on the first day of the third month following the month in which the CONSENT AGREEMENT becomes effective, provided that if the effective date is on or after the 16th day of the month, the first quarterly declaration must be received in the BOARD's offices on the first day of the fourth month following. Subsequent quarterly declarations must be received in the BOARD's offices on or before the first day of every third month;

4. DOCTOR BROCK shall appear in person for quarterly interviews before the BOARD or its designated representative, or as otherwise directed by the BOARD.

If an appearance is missed or is rescheduled for any reason, ensuing appearances shall be scheduled based on the appearance date as originally scheduled. (Example: The first quarterly appearance is scheduled for February, but based upon the doctor's serious personal illness he is permitted to delay appearance until April. The next appearance will still be scheduled for May, three months after the appearance as originally scheduled.) Although the BOARD will normally give DOCTOR BROCK written notification of scheduled appearances, it is DOCTOR BROCK's responsibility to know when personal appearances will occur. If he does not receive written notification from the BOARD by the end of the month in which the appearance should have occurred, DOCTOR BROCK shall immediately submit to the BOARD a written request to be notified of his next scheduled appearance;

5. In the event that DOCTOR BROCK should leave Ohio for three (3) continuous months, or reside or practice outside the State, DOCTOR BROCK must notify the BOARD in writing of the dates of departure and return. Periods of time spent outside Ohio will not apply to the reduction of this period under the CONSENT AGREEMENT, unless otherwise determined by motion of the BOARD in instances where the BOARD can be assured that probationary monitoring is otherwise being performed;
6. In the event DOCTOR BROCK is found by the Secretary of the BOARD to have failed to comply with any provision of this CONSENT AGREEMENT, and is so notified of that deficiency in writing, such period(s) of noncompliance will not apply to the reduction of the probationary period under the CONSENT AGREEMENT;

MONITORING OF REHABILITATION AND TREATMENT

Sobriety

7. DOCTOR BROCK shall abstain completely from the personal use or possession of drugs, except those prescribed, dispensed or administered to him by another so authorized by law who has full knowledge of DOCTOR BROCK's history;
8. DOCTOR BROCK shall abstain completely from the use of alcohol;

Drug and Alcohol Screens/Supervising Physician

9. DOCTOR BROCK shall submit to random urine screenings for drugs and alcohol on a weekly basis or as otherwise directed by the BOARD. DOCTOR BROCK shall ensure that all screening reports are forwarded directly to the BOARD on a quarterly basis. The drug testing panel utilized must be acceptable to the Secretary of the BOARD;

Within thirty (30) days of the effective date of this CONSENT AGREEMENT, DOCTOR BROCK shall submit to the BOARD for its prior approval the name of a supervising physician to whom DOCTOR BROCK shall submit the required urine specimens. In approving an individual to serve in this capacity, the BOARD will give preference to a physician who practices in the same locale as DOCTOR BROCK. The supervising physician shall ensure that the urine specimens are obtained on a random basis, that the giving of the specimen is witnessed by a reliable person, and that appropriate control over the specimen is maintained. In addition, the supervising physician shall immediately inform the BOARD of any positive screening results;

DOCTOR BROCK shall ensure that the supervising physician provides quarterly reports to the BOARD, on forms approved or provided by the BOARD, verifying whether all urine screens have been conducted in compliance with this CONSENT AGREEMENT, whether all urine screenings have been negative, and whether the supervising physician remains willing and able to continue in his responsibilities;

In the event that the designated supervising physician becomes unable or unwilling to so serve, DOCTOR BROCK must immediately notify the BOARD in writing, and make arrangements acceptable to the BOARD for another supervising physician as soon as practicable. DOCTOR BROCK shall further ensure that the previously designated supervising

physician also notifies the BOARD directly of the inability to continue to serve and the reasons therefore;

All screening reports and supervising physician reports required under this paragraph must be received in the BOARD's offices no later than the due date for DOCTOR BROCK's quarterly declaration. It is DOCTOR BROCK's responsibility to ensure that reports are timely submitted;

10. The BOARD retains the right to require, and DOCTOR BROCK agrees to submit, blood or urine specimens for analysis at DOCTOR BROCK's expense upon the BOARD's request and without prior notice. DOCTOR BROCK's refusal to submit a blood or urine specimen upon request of the BOARD shall result in a minimum of one year of actual license suspension;

Monitoring Physician

11. Within thirty (30) days of the effective date of this CONSENT AGREEMENT, DOCTOR BROCK shall submit for the BOARD's prior approval the name of a monitoring physician, who shall review DOCTOR BROCK's patient charts and shall submit a written report of such review to the BOARD on a quarterly basis. In approving an individual to serve in this capacity, the BOARD will give preference to a physician who practices in the same locale as DOCTOR BROCK and who is engaged in the same or similar practice specialty. Such chart review may be done on a random basis, with the frequency and number of charts reviewed to be determined by the BOARD. It shall be DOCTOR BROCK's responsibility to ensure that the monitoring physician's quarterly reports are submitted to the BOARD on a timely basis;

Further, the monitoring physician shall otherwise monitor DOCTOR BROCK and provide the BOARD with quarterly reports on the doctor's progress and status. DOCTOR BROCK shall ensure that such reports are forwarded to the BOARD on a quarterly basis. In the event that the designated monitoring physician becomes unable or unwilling to serve in this capacity, DOCTOR BROCK must immediately so notify the BOARD in writing, and make arrangements acceptable to the BOARD for another monitoring physician as soon as practicable. DOCTOR BROCK shall further ensure that the previously designated monitoring physician also notifies the BOARD directly of the inability to continue to serve and the reasons therefore;

While DOCTOR BROCK participates in a residency program accredited by the ACGME or AOA, the BOARD shall accept a quarterly statement from the director of DOCTOR BROCK's residency program addressing DOCTOR BROCK's performance (clinical and otherwise) in the residency program, as well as his progress and status, if timely submitted, as satisfaction of the requirements of this paragraph. Should DOCTOR BROCK desire to utilize this option in lieu of having a monitoring physician, DOCTOR BROCK shall so notify the BOARD in writing within thirty (30) days of the effective date of this CONSENT AGREEMENT;

All monitoring physician or residency director reports required under this paragraph must be received in the BOARD's offices no later than the due date for DOCTOR BROCK's quarterly declaration. It is DOCTOR BROCK's responsibility to ensure that reports are timely submitted;

Psychiatric Treatment/Psychotherapy

12. DOCTOR BROCK shall continue psychiatric treatment with Joseph G. Lalonde, M.D., or another psychiatrist approved in advance by the BOARD, at least once per month, or as otherwise directed by the BOARD. DOCTOR BROCK shall comply with his psychiatric treatment plan, including taking medications as prescribed and/or ordered.

Further, DOCTOR BROCK shall continue to participate in individual psychodynamic psychotherapy with Michael A. Forman, Ph.D., or another appropriately licensed psychologist or psychiatrist approved in advance by the BOARD, at least once per week, or as otherwise directed by the BOARD.

DOCTOR BROCK shall ensure that reports are forwarded by both his treating psychiatrist and treating psychologist to the BOARD on a quarterly basis, or as otherwise directed by the BOARD. These reports shall contain information describing DOCTOR BROCK's current treatment plan and any changes that have been made to the treatment plan since the prior report; DOCTOR BROCK's compliance with his treatment plan; DOCTOR BROCK's mental status; DOCTOR BROCK's progress in treatment; and results of any laboratory studies that have been conducted since the prior report. DOCTOR BROCK shall ensure that his treating psychiatrist and his treating psychologist immediately notify the Board of his failure to comply with his treatment plan and/or any determination that DOCTOR BROCK is unable to practice. It is DOCTOR BROCK's responsibility to ensure that these quarterly reports

are received in the BOARD's offices no later than the due date for DOCTOR BROCK's quarterly declaration;

Physicians Health Program

13. DOCTOR BROCK shall maintain continued compliance with the terms of the advocacy contract entered into with the Ohio Physicians Effectiveness Program or, if approved in advance by the BOARD, another appropriate physicians health program, provided that, where terms of the advocacy contract conflict with terms of this CONSENT AGREEMENT, the terms of this CONSENT AGREEMENT shall control;

Releases

14. DOCTOR BROCK shall provide continuing authorization, through appropriate written consent forms, for disclosure by his treatment provider to the BOARD, to treating and monitoring physicians, and to others involved in the monitoring process, of information necessary for them to fulfill their respective duties and obligations;

Required Reporting by Licensee

15. Within thirty (30) days of the effective date of this CONSENT AGREEMENT, DOCTOR BROCK shall provide a copy of this CONSENT AGREEMENT to all employers or entities with which he is under contract to provide health care services or is receiving training; and the Chief of Staff at each hospital where he has privileges or appointments. Further, DOCTOR BROCK shall provide a copy of this CONSENT AGREEMENT to all employers or entities with which he contracts to provide health care services, or applies for or receives training, and the Chief of Staff at each hospital where he applies for or obtains privileges or appointments;
16. Within thirty (30) days of the effective date of this CONSENT AGREEMENT, DOCTOR BROCK shall provide a copy of this CONSENT AGREEMENT by certified mail, return receipt requested, to the proper licensing authority of any state or jurisdiction in which he currently holds any professional license. DOCTOR BROCK further agrees to provide a copy of this CONSENT AGREEMENT by certified mail, return receipt requested, at time of application to the proper licensing authority of any state in which he applies for any professional license or reinstatement of any professional license. Further, DOCTOR BROCK shall provide this BOARD with a copy of the return receipt as

proof of notification within thirty (30) days of receiving that return receipt;

VIOLATION OF PROBATIONARY TERMS

17. Any violation of Paragraph 7 or Paragraph 8 of this CONSENT AGREEMENT shall constitute grounds to revoke or permanently revoke DOCTOR BROCK's certificate. DOCTOR BROCK agrees that the minimum discipline for such a violation shall include actual license suspension. This paragraph does not limit the BOARD's authority to suspend, revoke or permanently revoke DOCTOR BROCK's certificate based on other violations of this CONSENT AGREEMENT;
18. DOCTOR BROCK AGREES that if any declaration or report required by this CONSENT AGREEMENT is not received in the BOARD's offices on or before its due date, DOCTOR BROCK shall cease practicing beginning the day next following receipt from the BOARD of notice of non-receipt, either by writing, by telephone, or by personal contact until the declaration or report is received in the BOARD offices. Any practice during this time period shall be considered unlicensed practice in violation of Section 4731.43 of the Revised Code;
19. DOCTOR BROCK AGREES that if, without prior permission from the BOARD, he fails to submit to random screenings for drugs and alcohol at least as frequently as required by Paragraph 9 of this CONSENT AGREEMENT, he shall cease practicing immediately upon receipt from the BOARD of notice of the violation and shall refrain from practicing for thirty (30) days for the first instance of a single missed screen. Practice during this time period shall be considered unlicensed practice in violation of Section 4731.43 of the Revised Code; and
20. DOCTOR BROCK AGREES that if, without prior permission from the BOARD, he fails to participate in psychotherapy at least as frequently as required by Paragraph 12 of this CONSENT AGREEMENT, he shall cease practicing immediately upon receipt from the BOARD of notice of the violation and shall refrain from practicing for fifteen (15) days following a first missed psychotherapy session. Practice during this time period shall be considered unlicensed practice in violation of Section 4731.43 of the Revised Code.

FAILURE TO COMPLY

If, in the discretion of the Secretary and Supervising Member of the BOARD, DOCTOR BROCK appears to have violated or breached any term or condition of this

CONSENT AGREEMENT, the BOARD reserves the right to institute formal disciplinary proceedings for any and all possible violations or breaches, including, but not limited to, alleged violations of the laws of Ohio occurring before the effective date of this CONSENT AGREEMENT.

If the Secretary and Supervising Member of the BOARD determine that there is clear and convincing evidence that DOCTOR BROCK has violated any term, condition or limitation of this CONSENT AGREEMENT, DOCTOR BROCK agrees that the violation, as alleged, also constitutes clear and convincing evidence that his continued practice presents a danger of immediate and serious harm to the public for purposes of initiating a summary suspension pursuant to Section 4731.22(G), Ohio Revised Code.

DURATION/MODIFICATION OF TERMS

DOCTOR BROCK shall not request termination of this CONSENT AGREEMENT for a minimum of five (5) years. In addition, DOCTOR BROCK shall not request modification to the probationary terms, limitations and conditions contained herein for at least one (1) year. Otherwise, the above described terms, limitations and conditions may be amended or terminated in writing at any time upon the agreement of both parties.

ACKNOWLEDGMENTS/LIABILITY RELEASE

DOCTOR BROCK acknowledges that he has had an opportunity to ask questions concerning the terms of this CONSENT AGREEMENT and that all questions asked have been answered in a satisfactory manner.

Any action initiated by the BOARD based on alleged violations of this CONSENT AGREEMENT shall comply with the Administrative Procedure Act, Chapter 119., Ohio Revised Code.

DOCTOR BROCK hereby releases THE STATE MEDICAL BOARD OF OHIO, its members, employees, agents, officers and representatives jointly and severally from any and all liability arising from the within matter.

This CONSENT AGREEMENT shall be considered a public record as that term is used in Section 149.43, Ohio Revised Code. Further, this information may be reported to appropriate organizations, data banks and governmental bodies.

EFFECTIVE DATE

It is expressly understood that this CONSENT AGREEMENT is subject to ratification by the BOARD prior to signature by the Secretary and Supervising Member and that it shall become effective upon the last date of signature below.

David T. Brock, D.O.
DAVID T. BROCK, D.O.

April 30, 2001
DATE

Anand G. Garg
ANAND G. GARG, M.D.
Secretary

5/9/01
DATE

Raymond J. Albert
RAYMOND J. ALBERT
Supervising Member

5/9/01
DATE

Rebecca J. Albers
REBECCA J. ALBERS, ESQ.
Assistant Attorney General

5/9/01
DATE

**STEP I CONSENT AGREEMENT
BETWEEN
DAVID T. BROCK, D.O.
AND
THE STATE MEDICAL BOARD OF OHIO**

THIS CONSENT AGREEMENT is entered into by and between DAVID T. BROCK, D.O., and THE STATE MEDICAL BOARD OF OHIO, a state agency charged with enforcing Chapter 4731., Ohio Revised Code.

DAVID T. BROCK, D.O., enters into this Consent Agreement being fully informed of his rights under Chapter 119., Ohio Revised Code, including the right to representation by counsel and the right to a formal adjudicative hearing on the issues considered herein.

BASIS FOR ACTION

This Consent Agreement is entered into on the basis of the following stipulations, admissions and understandings:

- A. THE STATE MEDICAL BOARD OF OHIO is empowered by Section 4731.22(B), Ohio Revised Code, to limit, revoke, suspend a certificate, refuse to register or reinstate an applicant, or reprimand or place on probation the holder of a certificate for any of the enumerated violations.
- B. THE STATE MEDICAL BOARD OF OHIO enters into this Consent Agreement in lieu of further formal proceedings based upon the violations of Sections 4731.22(A), 4731.22(B)(5) and 4731.08, Ohio Revised Code, as set forth in the Notice of Opportunity for Hearing dated August 9, 2000, attached hereto as Exhibit A and incorporated herein by this reference, and expressly reserves the right to institute formal proceedings based upon any other violations of Chapter 4731. of the Revised Code, whether occurring before or after the effective date of this Consent Agreement.
- C. DAVID T. BROCK, D.O., is licensed to practice osteopathic medicine and surgery in the State of Ohio.

DAVID T. BROCK, D.O., STATES that he is not licensed to practice osteopathic medicine and surgery in any other state or jurisdiction.

- D. DAVID T. BROCK, D.O., ADMITS the allegations set forth in the Notice of Opportunity for Hearing, attached hereto as Exhibit A. DAVID T. BROCK, D.O., further ADMITS that he entered the Cleveland Clinic for a three day evaluation for chemical dependency at the request of his residency program. DAVID T. BROCK, D.O., admits that the Cleveland Clinic recommended that he

undergo in-patient treatment. DAVID T. BROCK, D.O., then entered Talbott Recovery Center where he was assessed for a two week period, from August 25, 2000 to September 11, 2000. DAVID T. BROCK, D.O., further STATES that he has subsequently provided signed releases to the BOARD for his treatment providers and the Ohio Physician's Effectiveness Program, authorizing release of his patient records, including results of any neuropsychological testing.

AGREED CONDITIONS

Wherefore, in consideration of the foregoing and mutual promises hereinafter set forth, and in lieu of any further formal proceedings at this time, DAVID T. BROCK, D.O., (hereinafter DOCTOR BROCK), knowingly and voluntarily agrees with THE STATE MEDICAL BOARD OF OHIO (hereinafter BOARD), to the following terms, conditions, and limitations:

SUSPENSION OF CERTIFICATE

- I. The certificate of DOCTOR BROCK to practice osteopathic medicine in the State of Ohio shall be SUSPENDED for an indefinite period of time, but not less than THIRTY DAYS from the effective date of this Consent Agreement. During the period of suspension, DOCTOR BROCK shall comply with the following terms, conditions and limitations:

Sobriety

- A. DOCTOR BROCK shall abstain completely from the personal use or possession of drugs, except those prescribed, dispensed or administered to him by another so authorized by law who has full knowledge of DOCTOR BROCK's history of chemical dependency and psychiatric treatment and who has been provided with a copy of this Consent Agreement, except in the event of a life threatening emergency.
- B. DOCTOR BROCK shall abstain completely from the use of alcohol.

Releases; Quarterly Declarations and Appearances

- C. DOCTOR BROCK shall provide continued authorization, through appropriate written consent forms, for disclosure of evaluative reports, summaries, and records, of whatever nature, by any and all parties that provide treatment or evaluation for DOCTOR BROCK's chemical dependency or related conditions, or for purposes of complying with the

Consent Agreement, whether such treatment or evaluation occurred before or after the date of this Consent Agreement. The above-mentioned evaluative reports, summaries, and records are considered medical records for purposes of Section 149.43 of the Ohio Revised Code and are confidential pursuant to statute. DOCTOR BROCK further agrees to provide the BOARD written consent permitting any treatment provider from whom he obtains treatment to notify the BOARD in the event he fails to agree to or comply with any treatment contract or aftercare contract. Failure to provide such consent, or revocation of such consent, shall constitute a violation of this Consent Agreement.

- D. DOCTOR BROCK shall submit quarterly declarations under penalty of BOARD disciplinary action or criminal prosecution stating whether there has been compliance with all the conditions of this Consent Agreement. The first quarterly declaration must be received in the BOARD'S offices on the first day of the third month following the month in which this Consent Agreement becomes effective, provided that if the effective date is on or after the 16th day of the month, the first quarterly declaration must be received in the BOARD'S offices on the first day of the fourth month following. Subsequent quarterly declarations must be received in the BOARD'S offices on or before the first day of every third month.

- E. DOCTOR BROCK shall appear in person for quarterly interviews before the BOARD or its designated representative, or as otherwise directed by the BOARD.

If an appearance is missed or is rescheduled for any reason, ensuing appearances shall be scheduled based on the appearance date as originally scheduled. (Example: The first quarterly appearance is scheduled for February, but based upon the doctor's serious personal illness he is permitted to delay appearance until April. The next appearance will still be scheduled for May, three months after the appearance as originally scheduled.) Although the BOARD will normally give DOCTOR BROCK written notification of scheduled appearances, it is DOCTOR BROCK's responsibility to know when personal appearances will occur. If he does not receive written notification from the BOARD by the end of the month in which the appearance should have occurred, DOCTOR BROCK shall immediately submit to the BOARD a written request to be notified of his next scheduled appearance.

Drug and Alcohol Screens; Supervising Physician

- F. DOCTOR BROCK shall submit to random urine screenings for drugs and alcohol on a two (2) times per week basis or as otherwise directed by the BOARD. DOCTOR BROCK shall ensure that all screening reports are forwarded directly to the BOARD on a quarterly basis. The drug testing panel utilized must be acceptable to the Secretary of the Board.

Within thirty (30) days of the effective date of this Consent Agreement, DOCTOR BROCK shall submit to the BOARD for its prior approval the name of a supervising physician to whom DOCTOR BROCK shall submit the required urine specimens. In approving an individual to serve in this capacity, the BOARD will give preference to a physician who practices in the same locale as DOCTOR BROCK. The supervising physician shall ensure that the urine specimens are obtained on a random basis, that the giving of the specimen is witnessed by a reliable person, and that appropriate control over the specimen is maintained. In addition, the supervising physician shall immediately inform the BOARD of any positive screening results.

DOCTOR BROCK shall ensure that the supervising physician provides quarterly reports to the BOARD, on forms approved or provided by the BOARD, verifying whether all urine screens have been conducted in compliance with this Consent Agreement, whether all urine screens have been negative, and whether the supervising physician remains willing and able to continue in his responsibilities.

In the event that the designated supervising physician becomes unable or unwilling to so serve, DOCTOR BROCK must immediately notify the BOARD in writing, and make arrangements acceptable to the BOARD for another supervising physician as soon as practicable. DOCTOR BROCK shall further ensure that the previously designated supervising physician also notifies the BOARD directly of the inability to continue to serve and the reasons therefor.

All screening reports and supervising physician reports required under this paragraph must be received in the BOARD's offices no later than the due date for DOCTOR BROCK's quarterly declaration. It is DOCTOR BROCK's responsibility to ensure that the reports are timely submitted.

- G. Within thirty days (30) days of the effective date of this CONSENT AGREEMENT, DOCTOR BROCK shall undertake and maintain participation in an alcohol and drug rehabilitation program, such as A.A., N.A., or Caduceus, no less than three times (3) times per week. Substitution of any other specific program must receive prior BOARD approval.

DOCTOR BROCK shall submit with each quarterly declaration required under Paragraph D of this CONSENT AGREEMENT acceptable documentary evidence of continuing compliance with this program.

- H. DOCTOR BROCK shall undergo and continue psychiatric treatment, including psychological and neuropsychological testing, with Douglas Beech, M.D. or another treating psychiatrist approved by the Board no less than once every two weeks or as otherwise directed by the BOARD. DOCTOR BROCK shall provide Dr. Beech or the other treating psychiatrist with copies of complete patient records from any evaluations and/or treatment that he has received, and a copy of this CONSENT AGREEMENT. DOCTOR BROCK shall comply with his psychiatric treatment plan, including taking medications as prescribed and/or ordered for his psychiatric disorder. DOCTOR BROCK shall ensure that psychiatric reports are forwarded by his treating psychiatrist to the BOARD on a quarterly basis, or as otherwise directed by the BOARD. The psychiatric reports shall contain information describing DOCTOR BROCK's current treatment plan and any changes that have been made to the treatment plan since the prior report; DOCTOR BROCK's compliance with his treatment plan; DOCTOR BROCK's mental status; DOCTOR BROCK's progress in treatment; and results of any laboratory studies that have been conducted since the prior report. DOCTOR BROCK shall ensure that his treating psychiatrist immediately notifies the BOARD of his failure to comply with this psychiatric treatment plan. It is DOCTOR BROCK's responsibility to ensure that quarterly reports are received in the BOARD's offices no later than the due date for DOCTOR BROCK'S quarterly declarations.

CONDITIONS FOR REINSTATEMENT

- II. The BOARD shall not consider reinstatement of DOCTOR BROCK's certificate to practice osteopathic medicine and surgery, unless and until all of the following conditions are met:

David T. Brock, D.O.
STEP 1 Consent Agreement
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- A. DOCTOR BROCK shall submit an application for reinstatement, accompanied by appropriate fees. Such application shall not be submitted for a minimum period of THIRTY (30) days from the effective date of this Consent Agreement.

- B. DOCTOR BROCK shall demonstrate to the satisfaction of the BOARD that he can resume practice in compliance with acceptable and prevailing standards of care under the provisions of his certificate. Such demonstration shall include, but shall not be limited to, the following:
 - i. Certification from a provider approved under Section 4731.25 of the Revised Code that DOCTOR BROCK has successfully completed any required inpatient treatment;

 - ii. Evidence of continuing full compliance with an aftercare contract or consent agreement;

 - iii. Two written reports indicating that DOCTOR BROCK's present ability to practice (that is, as of the time that the application for reinstatement is submitted) has been assessed and that he has been found capable of practicing according to acceptable and prevailing standards of care.

One report shall be made by an individual or provider approved by the BOARD under Section 4731.25, Ohio Revised Code, for making such assessments. Prior to the assessment, DOCTOR BROCK shall provide the evaluator with complete copies of patient records from any evaluations and/or treatment that he has received, and a copy of this CONSENT AGREEMENT. The report from the evaluator shall include any recommendations for treatment, monitoring, or supervision of DOCTOR BROCK, and any conditions, restrictions, or limitations that should be imposed on DOCTOR BROCK's practice. The report shall also describe the basis for the evaluator's determinations.

One report shall be made by a psychiatrist, designated by the BOARD, who shall conduct a psychiatric examination of DOCTOR BROCK that includes psychological and neuropsychological testing. Prior to the examination, DOCTOR BROCK shall provide the psychiatrist with complete copies of

patient records from any evaluations and/or treatment that he has received, and a copy of this CONSENT AGREEMENT. The report from the evaluating psychiatrist shall include the psychiatrist's diagnoses and conclusions; any recommendations for care, counseling, and treatment for the psychiatric diagnoses; any conditions, restrictions, or limitations that should be imposed on DOCTOR BROCK's practice; and the basis for the psychiatrist's determinations.

- C. DOCTOR BROCK shall enter into a written consent agreement including probationary terms, conditions and limitations as determined by the BOARD or, if the BOARD and DOCTOR BROCK are unable to agree on terms of a written consent agreement, then DOCTOR BROCK further agrees to abide by any terms, conditions and limitations imposed by Board Order after a hearing conducted pursuant to Chapter 119. of the Ohio Revised Code.
- D. Further, upon reinstatement of DOCTOR BROCK's certificate to practice osteopathic medicine and surgery in this state, the BOARD shall require continued monitoring which shall include, but not be limited to, compliance with the written consent agreement entered into before reinstatement or with conditions imposed by Board Order after a hearing conducted pursuant to Chapter 119. of the Revised Code and, upon termination of the consent agreement or Board Order, submission to the BOARD for at least two years of annual progress reports made under penalty of BOARD disciplinary action or criminal prosecution stating whether DOCTOR BROCK has maintained sobriety.
- E. In the event that DOCTOR BROCK has not been engaged in the active practice of osteopathic medicine and surgery for a period in excess of two (2) years prior to application for reinstatement, the BOARD may exercise its discretion under Section 4731.222, Ohio Revised Code, to require additional evidence of DOCTOR BROCK's fitness to resume practice.

REQUIRED REPORTING BY LICENSEE

- III. Within thirty (30) days of the effective date of this Consent Agreement, DOCTOR BROCK shall provide a copy of this Consent Agreement by certified mail, return receipt requested, to the proper licensing authority of any state or jurisdiction in which he currently holds any professional license. DOCTOR BROCK further agrees to provide a

copy of this Consent Agreement by certified mail, return receipt requested, at the time of application to the proper licensing authority of any state in which he applies for any professional license or reinstatement of any professional license. Further, DOCTOR BROCK shall provide this BOARD with a copy of the return receipt as proof of notification within thirty (30) days of receiving that return receipt.

- IV. Within thirty (30) days of the effective date of this Consent Agreement, DOCTOR BROCK shall provide a copy of this Consent Agreement to all employers or entities with which he is under contract to provide health care services or is receiving training; and the Chief of Staff at each hospital where he has privileges or appointments. Further, DOCTOR BROCK shall provide a copy of the Consent Agreement to all employers or entities with which he contracts to provide health care services, or applies for or receives training, and the Chief of Staff at each hospital where he applies for or obtains privileges or appointments.

DURATION/MODIFICATION OF TERMS

The above-described terms, conditions and limitations may be amended or terminated in writing at any time upon the agreement of both parties.

FAILURE TO COMPLY

If, in the discretion of the Secretary and Supervising Member of THE STATE MEDICAL BOARD OF OHIO, DOCTOR BROCK appears to have violated or breached any term or condition of this Consent Agreement, THE STATE MEDICAL BOARD OF OHIO reserves the right to institute formal disciplinary proceedings for any and all possible violations or breaches, including but not limited to, alleged violations of the laws of Ohio occurring before the effective date of this Consent Agreement.

Any action initiated by the BOARD based on alleged violations of this Consent Agreement shall comply with the Administrative Procedure Act, Chapter 119., Ohio Revised Code.

ACKNOWLEDGMENTS/LIABILITY RELEASE

DOCTOR BROCK acknowledges that he has had an opportunity to ask questions concerning the terms of this Consent Agreement and that all questions asked have been answered in a satisfactory manner.

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DOCTOR BROCK hereby releases THE STATE MEDICAL BOARD OF OHIO, its members, employees, agents, officers and representatives jointly and severally from any and all liability arising from the within matter.

This Consent Agreement shall be considered a public record as that term is used in Section 149.43, Ohio Revised Code. Further, this information may be reported to appropriate organizations, data banks and governmental bodies.

EFFECTIVE DATE

It is expressly understood that this Consent Agreement is subject to ratification by the BOARD prior to signature by the Secretary and Supervising Member and that it shall become effective upon the last date of signature below.

David T. Brock, D.O.
DAVID T. BROCK, D.O.

Anand G. Garg
ANAND G. GARG, M.D.
Secretary

10-27-00
DATE

11/8/00
DATE

James M. McGovern
JAMES M. McGOVERN
Attorney for Dr. BROCK

Raymond J. Albert
RAYMOND J. ALBERT
Supervising Member

10-30-00
DATE

11/9/00
DATE

Rebecca J. Albers
REBECCA J. ALBERS
Assistant Attorney General

11/8/00
DATE



State Medical Board of Ohio

77 S. High Street, 17th Floor • Columbus, Ohio 43266-0315 • 614/ 466-3934 • Website: www.state.oh.us/med/

August 9, 2000

David T. Brock, D.O.
4720 Braddock Court
Columbus, Ohio 43220

Dear Doctor Brock:

In accordance with Chapter 119., Ohio Revised Code, you are hereby notified that the State Medical Board of Ohio intends to determine whether or not to limit, revoke, permanently revoke, suspend, refuse to register or reinstate your certificate to practice osteopathic medicine and surgery, or to reprimand or place you on probation for one or more of the following reasons:

- (1)(a) On or about April 8, 1999, you filed an Application for Certificate (hereinafter APPLICATION) to practice osteopathic medicine and surgery in Ohio. Your submission included a sworn affidavit signed by you on or about April 2, 1999, certifying that all statements made in the Application were true.

On or about August 3, 1999, in reliance upon your truthfulness in completing the above application, the State Medical Board of Ohio granted you licensure.

- (b) In the Additional Information section of the above APPLICATION you responded "No" to the following question:

15. Have you ever been convicted or found guilty of a violation of any law, regardless of the legal jurisdiction in which the act was committed, other than a minor traffic violation?

In fact, on or about July 8, 1998, in the Worthington Mayors Court, Worthington, Ohio, you pleaded guilty to one misdemeanor count (M-1) of Driving or Physical Control [of a vehicle] While Under the Influence in violation of Section 333.01A3, Worthington City Ordinance. You were sentenced to three (3) days in jail, which was suspended provided that you complete a three (3) day Drivers Intervention Program. Further, you were fined \$525.00, of which \$150.00 was suspended, and your drivers license was suspended for 180 days.

Copies of the Complaint and Mayors Court Case Worksheet (Judgment Entry and Sentencing) are attached hereto and fully incorporated herein.

Your acts, conduct, and/or omissions as alleged in paragraph (1) above, individually and/or collectively, constitute "fraud, misrepresentation, or deception in applying for or securing any license or certificate issued by the board," as that clause is used in Section 4731.22(A), Ohio Revised Code.

Mailed 8/10/00

Further, your acts, conduct, and/or omissions as alleged in paragraph (1) above, individually and/or collectively, constitute "publishing a false, fraudulent, deceptive, or misleading statement," as that clause is used in Section 4731.22(B)(5), Ohio Revised Code.

Further, your acts, conduct, and/or omissions as alleged in paragraph (1) above, individually and/or collectively, constitute a failure to furnish satisfactory proof of good moral character as required by Section 4731.08, Ohio Revised Code.

Pursuant to Chapter 119., Ohio Revised Code, you are hereby advised that you are entitled to a hearing in this matter. If you wish to request such hearing, the request must be made in writing and must be received in the offices of the State Medical Board within thirty (30) days of the time of mailing of this notice.

You are further advised that you are entitled to appear at such hearing in person, or by your attorney, or by such other representative as is permitted to practice before this agency, or you may present your position, arguments, or contentions in writing, and that at the hearing you may present evidence and examine witnesses appearing for or against you.

In the event that there is no request for such hearing received within thirty (30) days of the time of mailing of this notice, the State Medical Board may, in your absence and upon consideration of this matter, determine whether or not to limit, revoke, permanently revoke, suspend, refuse to register or reinstate your certificate to practice osteopathic medicine and surgery or to reprimand or place you on probation.

Please note that, whether or not you request a hearing, Section 4731.22(L), Ohio Revised Code, effective March 9, 1999, provides that "[w]hen the board refuses to grant a certificate to an applicant, revokes an individual's certificate to practice, refuses to register an applicant, or refuses to reinstate an individual's certificate to practice, the board may specify that its action is permanent. An individual subject to a permanent action taken by the board is forever thereafter ineligible to hold a certificate to practice and the board shall not accept an application for reinstatement of the certificate or for issuance of a new certificate."

Copies of the applicable sections are enclosed for your information.

Very truly yours,



Anand G. Garg, M.D.
Secretary

AGG/jag
Enclosures

CERTIFIED MAIL # Z 281 981 573
RETURN RECEIPT REQUESTED

Franklin Co. Municipal
 Franklin Co. Juv. COURT
 Franklin COUNTY, OHIO
 STATE OF OHIO WORthington
 City Village Township

NAME BRACK, DAVID T
 STREET 4720 BRADDOCK CT APT C
 CITY, STATE COLUMBUS OH ZIP 43220
 LICENSE ISSUED MO 12 YR 96 EXPIRES BIRTH DATE YR 2008 STATE OH
 D.O.B.: MO 5 DAY 12 YR 69

SSN: _____
 RACE W SEX M HEIGHT 5'8 WEIGHT 155 HAIR BRN EYES BLU
 FINANCIAL RESPONSIBILITY PROOF SHOWN Yes No
 LICENSE NO. RO 736944

Lic. Class OP DOT # _____ Does Not Apply
 ON MON JUL 16 YR 98 AT OHIO AM. YOU OPERATED/PARKED/WALKED/A
 Pass. Comm. Cycle Over 25001 Bus Haz. Mat.
 VEHICLE YR 98 MAKE TOYOTA BODYTYPE SUV
 COLOR BLACK LIC. AUV9044 STATE OH
 UPON A PUBLIC HIGHWAY, NAMELY SR 161 w/B
 AT/BETWEEN SR 315 (M.P. _____)
 IN THE CITY/TOWNSHIP OF WORthington IN Franklin

COUNTY (NO. 25), STATE OF OHIO AND COMMITTED THE FOLLOWING OFFENSE(S).

SPEED: _____ MPH in _____ MPH zone ORC ORD T.P.
 Over limits Unsafe for cond. AQDA
 Radar Air VASCAR Pace Laser Stationary Moving
 DWI: Under the influence of alcohol/drug of abuse
 Prohibited blood alcohol concentration .166 BAC 333.01A3
 Blood Breath Urine Refused
 DRIVER LICENSE: None Revoked Suspended ORC ORD T.P.
 Not on person Expired: 6 mos. or less Over 6 mos.
 Suspension Type _____
 SAFETY BELT - Failure to wear ORC ORD T.P.
 Driver Passenger Child Restraint

DRIVER LICENSE HELD VEHICLE SEIZED ARREST CODE _____
 PAVEMENT: Dry Wet Snow Ice # of Lanes 5 Const. Zone
 VISIBILITY: Clear Cloudy Dust Night
 WEATHER: Rain Snow Fog No Adverse
 TRAFFIC: Heavy Moderate Light None
 AREA: Business Rural Residential Industry School
 CRASH: Yes No Almost Caused Injury Non-Injury Fatal
 Crash Report Number: _____
 REMARKS _____
 ACCOMPANYING CRIMINAL CHARGE Yes No TOTAL # OFFENSES 4

TO DEFENDANT: SUMMONS PERSONAL APPEARANCE REQUIRED
 You are summoned and ordered to appear at WORthington MAJORS Court
6550 N. HIGH ST WORTH OH 43085
 at 6:30 PM JUL 8 YR 98 if you fail to appear at this time and
 place you may be arrested or your license may be canceled.
 This summons served personally on the defendant on 7/6/98 YR _____
 The issuing charging law enforcement officer states under the penalties of perjury and falsification
 that he/she has read the above complaint and that it is true.
Therese L. Hooper
 Issuing-Charging Law Enforcement Officer

2520			
Court Code	Unit	Post	Dist.

NOTE: ISSUING OFFICER BE SURE TO VERIFY ADDRESS.
 IF DIFFERENT FROM LICENSE ADDRESS WRITE PRESENT ADDRESS IN SPACE PROVIDED.
 OHP0060 10-006000 (REVISION 9-1-98) COURT RECORD OSHP MP7 [B6305]

DAVID T. BROCK
 SIGNATURE: David Brock
 CO. RES. FRANKLIN PHONE: 457-3068

I HEREBY CERTIFY THIS TO BE A TRUE COPY.

Susan Link
 SUSAN LINK, COURT CLERK
 WORthington, OHIO

722

WORTHINGTON MAYORS COURT
6550 N. HIGH STREET
WORTHINGTON, OH 43085

CASE WORKSHEET

CITY OF WORTHINGTON
Vs.
BROCK, DAVID T.

CASE #...: 98TRC1842 B
TICKET #: 6082-1
FILE DATE: 07/07/98

ADDRESS: 4720 BRADDOCK CT COLUMBUS OH 43220
PHONE :
DL# : RQ736944
SSN:
DL EXP: 05/12/00

DOB....: 05/12/69
PLATE #: AUV9044

SEC/ORD : 333.01A3
VIOL DATE: 07/06/98
PENALTY :
OTHER :

CHARGE.: OMVI A3
OFFICER: FRAZIER
DEGREE.: M1
ATTY....:

HEARING TYPE: ARRAIGNMENT
BOND POSTED :
BOND DISP. :

DATE: 07/08/98
AMOUNT: \$435.00
TIME: 06:30 PM
0.00

PLEA...: Guilty DATE: _____
FINDING: " DATE: _____

REDUCED CHARGE: ~~525.00~~
FINE.....: ~~550.00~~
COURT COST...: 15.00
GENERAL FUND.: 19.00
REP. ROTARY...: 9.00
COMPUTER FUND: 0.00
EFF OTHER COST...: 25.00
TOTAL.....: 585.00

CASE NOTES

= Suspend ND of DIV & 3 days
- " O/L 480
Pa 435.00 Rec 34302 (7-16-98)

INSURANCE VER. FORM: 7
LIC. SUSPENSION: 7-6-98 to 1-2-99
JAIL: 3 days
PROBATION:
OTHER:

MAYOR'S SIGNATURE [Signature]

DATE 7/8/98

I HEREBY CERTIFY THIS TO BE A TRUE COPY.

[Signature]
SUSAN LINK, COURT CLERK, WORTHINGTON, OHIO

HEARING TO REVIEW PAYMENT
OF FINE & COSTS

Defendant's Name David T Brock

In the WORTHINGTON MAYOR'S COURT, WORTHINGTON, OHIO

Case No. 98TRC 1842 B Charge (s) OM V 2 \$ 435⁰⁰

The Defendant appeared in court on 7-08-98

The status of the payment of Defendants's obligation was reviewed by the court and the following information was provided by the Defendant:

Doctors Hospital - physician

Based on the foregoing information, the court finds:

The Defendant does not have a present ability to pay.
The case will be reviewed again _____

The Defendant is employed and has agreed to make payments as follows:
In full on or before 7/22/98

The Defendant willfully has failed to abide by the order of the Court while having an ability to do so. Sentence previously given or amended is to be enforced as follows:

[Signature] _____
MAYOR DATE

I HEREBY CERTIFY THIS TO BE A TRUE COPY.
[Signature]
SUSAN LINK, COURT CLERK, WORTHINGTON, OHIO